

EXECUTIVE SPEAKER FORUM

A new 30-minute
virtual lecture series
by the New York
Insurance Association



THE STATE OF STATE-BASED REGULATION

ERIC DINALLO ON WHAT TO EXPECT IN THE WAKE OF
FEDERAL AND INTERNATIONAL REGULATORY SHIFTS

Wednesday | May 10 | 1:30 p.m. (EDT)



EXECUTIVE SPEAKER FORUM



Eric R. Dinallo, Partner
Debevoise & Plimpton LLP

Eric R. Dinallo is a partner in the firm's New York office and a member of the Financial Institutions Group. A recognized practitioner in Chambers USA, Dinallo represents clients throughout the financial services sector and provides counseling on a broad range of matters, including government and internal investigations, enforcement actions, litigation and compliance matters, and regulatory and strategic legal advice on mergers and acquisitions and other corporate transactions.

Dinallo has a broad range of public and private sector experience. He served as the New York State Superintendent of Insurance (2007–2009) where under his leadership the department became a national model on insurance regulation and a respected voice on the industry's role in the financial system. Dinallo worked with the United States Treasury Department, the Federal Reserve Bank of New York and others in the restructuring of AIG, for which he was named a "Dealmaker of the Year" by The American Lawyer in 2009. He earned national acclaim for leading successful negotiations between developers and insurance companies over the World Trade Center site, and in 2008 he received the "Esprit de Corps Award" from the National Association of Insurance Commissioners for accomplishments as an "ambassador for state-based insurance regulation."

Prior to his term as Superintendent, Dinallo was the General Counsel of Willis Group Holdings (2006–2007), supervising the legal, compliance and internal audit departments. He was the Managing Director, Global Head of Regulatory Affairs of Morgan Stanley (2003–2006), where he designed and led top-to-bottom reviews of conflicts and business practices, and achieved major shifts in the firmwide regulatory strategy. Dinallo served as Chief of the Investment Protection Bureau in the Office of Attorney General Eliot Spitzer (1999–2003). He also served as an Assistant District Attorney in the

As American businesses struggle to comprehend the implications of the November election earthquake, one consistent theme has been an expectation that federal regulatory action, at least over time, will decrease across the board. But as the political tectonic plates force federal regulatory agencies to retreat, they also create a void of power that certain state regulators and attorneys general are likely to fill ... The New York State Department of Financial Services, in particular, has fashioned itself as a mini-version of the CFPB. Each agency has the power to shape businesses through policy, rules and regulations, and enforcement, either on its own or in concert with the state attorney general's office and other state agencies.

- Eric Dinallo
American Banker

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New York County District Attorney's office (1995–1999), where he had primary responsibility for securities fraud and white collar and insider trading investigations and trials.

Dinallo has spent significant time in public service. He was a primary election candidate for New York State Attorney General in 2010. During the campaign, Dinallo received endorsements from Crain's New York Business and the New York Daily News and was lauded for his performance in The New York Times/NY1 News primary debate. Following the election, he served as Co-Chair of the Economic Justice transition committee for New York Attorney General Eric Schneiderman. Previously, he served as Co-Chair of the Criminal Justice transition committee for Andrew Cuomo in 2006.

REGISTRATION INFORMATION

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